



ON DUALS OF COUNTABLY SEMINORMED SPACES

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Abstract

It is well known that a normed space E is a uniformly convex (smooth) normed space if and only if its dual E^* is uniformly smooth (convex). We extend these geometric properties to seminormed spaces and then introduce definitions of uniformly convex (smooth) countably seminormed spaces. A new vision of the completion of countably seminormed space was helpful in our task. We get some fundamental links between Lindenstrauss duality formulas. A duality property between uniform convexity and uniform smoothness of countably seminormed space is also given.

1. Definitions and Examples

Definition 1.1 (Countably seminormed space) [5, 3]. A linear space E

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equipped with a total countable family of seminorms $\{p_n, n \in \mathcal{N}\}$ is said to be a *countably seminormed space*. Totality $(p_n(x) = 0, \forall n \Rightarrow x = \theta)$ guarantees that E is Hausdorff. A complete countably seminormed space is called a *Fréchet space*. If E is equipped with one seminorm p , then (E, p) is called a *seminormed space*. If p is a norm, then (E, p) is a normed space.

Remarks [5, 7]. (1) Without loss of generality (by taking the equivalent system of seminorms $\dot{p}_n(x) = \max_{i=1}^n p_i(x)$), one can assume that the sequence of seminorms $\{p_n; n = 1, 2, \dots\}$ is increasing, i.e., $p_1(x) \leq p_2(x) \leq \dots \leq p_n(x) \leq \dots, \forall x \in E$.

(2) Any countably seminormed space is metrizable and its metric d can be defined by $d(x, y) = \sum_{i=1}^{\infty} \frac{1}{2^i} \frac{p_i(x-y)}{1 + p_i(x-y)}$.

Definition 1.2 (Compatible norms) [7]. Two norms $\|\cdot\|_1$ and $\|\cdot\|_2$ in a linear space E are said to be *compatible* if, whenever a sequence $\{x_n\}$ in E is Cauchy with respect to both norms and converges to a limit $x \in E$ with respect to one of them, it also converges to the same limit x with respect to the other norm.

Remark 1.3 [7, 3]. If only one of the seminorms, say p_{n_0} , is a norm, then by adding this norm to each of the seminorms, we will get an equivalent system of increasing norms and if these norms are pairwise compatible, then E is, in fact, a countably normed space.

The following examples are Fréchet spaces [3].

(1) The space \mathcal{R}^∞ of all sequences $\{a_i\}$ of real numbers is equipped with

$$p_n(\{a_i\}) = \sum_{i=1}^{i=n} |a_i| \text{ for } n \in \mathcal{N}.$$

(2) The space $\mathcal{C}^\infty[a, b]$ of smooth functions (infinitely differentiable on $]a, b[$ and continuous at the ends of the interval) is equipped with

$$p_n(f) = \sum_{i=0}^{i=n} \sup_x |D^i f(x)| \text{ for } n \in \mathcal{N}.$$

(3) X is a compact manifold and V is a vector bundle over X . Let $\mathfrak{C}(X, V)$ be the vector space of smooth sections of the bundle over X . Choose Riemannian metrics and connections on the bundles TX and V and let $D^i f$ denote the i th covariant derivative of a section f of V . $\mathfrak{C}(X, V)$ is equipped with

$$p_n(f) = \sum_{i=0}^{i=n} \sup_x |D^i f(x)| \text{ for } n \in \mathcal{N}.$$

Example 1.4 [4]. For $1 < p < \infty$, the space $\ell^{p+0} := \bigcap_{q>p} \ell^q$ is a countably normed space. In fact, one can easily see that $\ell^{p+0} = \bigcap_n \ell^{p_n}$ for any choice of a monotonic decreasing sequence $\{p_n\}$ converging to p . ℓ^{p_n} is Banach for every n , it is clear now that the countably normed space ℓ^{p+0} is complete.

Notation. Let L be a subspace of a topological linear space E . We may write $x + L$ to denote the equivalence class \hat{x} belonging to the factor space E/L . So we can write $x \in \hat{x} = x + L \in E/L$.

Definition 1.5 (Normed space associated with seminormed space) [5]. For a seminormed space (E, p) , there is a normed space $E/\ker p$ with the norm $\|x + \ker p\|_p = p(x)$ called the *associated normed space* with the seminormed space (E, p) .

Definition 1.6 (Uniformly convex normed space) [8]. A normed linear

space E is called *uniformly convex* if for any $\varepsilon \in (0, 2]$, there exists a $\delta = \delta(\varepsilon) > 0$ such that if $x, y \in E$ with $\|x\| = 1$, $\|y\| = 1$ and $\|x - y\| \geq \varepsilon$, then $\left\| \frac{1}{2}(x + y) \right\| \leq 1 - \delta$.

Definition 1.7 (Modulus of convexity) [8]. Let E be a normed linear space with $\dim E \geq 2$. The *modulus of convexity* of E is the function $\delta_E : (0, 2] \rightarrow [0, 1]$ defined by

$$\delta_E(\varepsilon) := \inf \left\{ 1 - \left\| \frac{x + y}{2} \right\| : \|x\| \leq 1, \|y\| \leq 1; \|x - y\| \geq \varepsilon \right\}.$$

Definition 1.8 (Uniformly smooth normed space) [8]. A normed linear space E is said to be *uniformly smooth* if whenever given $\varepsilon > 0$ there exists $\delta > 0$ such that if $\|x\| = 1$ and $\|y\| \leq \delta$, then

$$\|x + y\| + \|x - y\| < 2 + \varepsilon \|y\|.$$

Definition 1.9 (Modulus of smoothness) [8]. Let E be a normed linear space with $\dim E \geq 2$. The *modulus of smoothness* of E is the function $\rho_E : [0, \infty) \rightarrow [0, \infty)$ defined by

$$\begin{aligned} \rho_E(\tau) &:= \sup \left\{ \frac{\|x + y\| - \|x - y\|}{2} - 1 : \|x\| = 1; \|y\| = \tau \right\} \\ &= \sup \left\{ \frac{\|x + \tau y\| + \|x - \tau y\|}{2} - 1 : \|x\| = 1 = \|y\| \right\}. \end{aligned}$$

Definition 1.10 [5]. A linear functional f on a countably seminormed space E is continuous if there is a seminorm p_{n_0} on E and a constant $c > 0$ such that for all $x \in E$,

$$|f(x)| \leq c p_{n_0}(x).$$

Definition 1.11 [5]. The space of all linear continuous functionals on a countably seminormed space E is called the *dual* of E and is denoted by E^* .

We suggest the following definitions:

Definition 1.12 (Uniformly convex seminormed space). We call a seminormed linear space (E, p) *uniformly convex* if for any $\varepsilon \in (0, 2]$, there exists a $\delta = \delta(\varepsilon) > 0$ such that if $x, y \in E$ with $p(x) = 1$, $p(y) = 1$ and $p(x - y) \geq \varepsilon$, then $p\left(\frac{1}{2}(x + y)\right) \leq 1 - \delta$.

Definition 1.13 (Uniformly smooth seminormed space). We call a seminormed linear space (E, p) *uniformly smooth* if whenever given $\varepsilon > 0$ there exists $\delta > 0$ such that if $p(x) = 1$ and $p(y) \leq \delta$, then

$$p(x + y) + p(x - y) < 2 + \varepsilon p(y).$$

2. Technical Lemmas

Since the norm in the associated normed space is defined by the seminorm, so the following proposition is easy to prove.

Proposition 2.1. (1) *A seminormed space (E, p) is uniformly convex if and only if its associated normed space is uniformly convex. This gives an equivalent definition of a uniformly convex seminormed space.*

(2) *A seminormed space (E, p) is uniformly smooth if and only if its associated normed space is uniformly smooth. This gives an equivalent definition of a uniformly smooth seminormed space.*

(3) *A seminormed space (E, p) is complete if and only if its associated normed space is complete.*

Proof. (1) “ \Rightarrow ” Let (E, p) be a uniformly convex seminormed space. Then we prove that $(E/\ker p, \|\cdot\|_p)$ is a uniformly convex normed space. Let $\varepsilon > 0$ be given and $\hat{x}, \hat{y} \in E/\ker p$, $\|\hat{x}\| = \|\hat{y}\| = 1$ such that $\|\hat{x} - \hat{y}\| \geq \varepsilon$. Then there exist $x, y \in E$ such that $\hat{x} = x + \ker p$, $\hat{y} = y + \ker p$, $p(x) = p(y) = 1$ and $p(x - y) \geq \varepsilon$. Since (E, p) is uniformly convex according to

Definition 1.12, there exists $\delta(\varepsilon) > 0$ such that $p\left(\frac{1}{2}(x+y)\right) \leq 1 - \delta$. So

$$\left\| \frac{1}{2}(\hat{x} + \hat{y}) \right\| \leq 1 - \delta.$$

“ \Leftarrow ” Let $(E/\ker p, \|\cdot\|_p)$ be a uniformly convex normed space. Then we prove that (E, p) is a uniformly convex seminormed space. Let $\varepsilon > 0$ be given and $x, y \in E$, $p(x) = p(y) = 1$ such that $p(x - y) \geq \varepsilon$. Then there exist $\hat{x} = x + \ker p$, $\hat{y} = y + \ker p \in E/\ker p$, $\|\hat{x}\| = \|\hat{y}\| = 1$ and $\|\hat{x} - \hat{y}\| \geq \varepsilon$.

(2) “ \Rightarrow ” Let (E, p) be a uniformly smooth seminormed space. Then we prove that $(E/\ker p, \|\cdot\|_p)$ is a smooth convex normed space. Let $\varepsilon > 0$ be given and $\hat{x}, \hat{y} \in E/\ker p$, $\|\hat{x}\| = 1$ such that $\|\hat{x} + \hat{y}\| + \|\hat{x} - \hat{y}\| < 2 + \varepsilon\|\hat{y}\|$. Then there exist $x, y \in E$ such that $\hat{x} = x + \ker p$, $\hat{y} = y + \ker p$, $p(x) = 1$ and $p(x + y) + p(x - y) < 2 + \varepsilon p(y)$. Since (E, p) is uniformly convex according to Definition 1.13, there exists $\delta(\varepsilon) > 0$ such that $p(y) \leq \delta$. So $\|\hat{y}\| \leq \delta$.

“ \Leftarrow ” Let $(E/\ker p, \|\cdot\|_p)$ be a uniformly smooth normed space. Then we prove that (E, p) is a uniformly smooth seminormed space. Let $\varepsilon > 0$ be given and $x, y \in E$, $p(x) = 1$ such that $p(x + y) + p(x - y) < 2 + \varepsilon p(y)$. Then there exist $\hat{x} = x + \ker p$, $\hat{y} = y + \ker p \in E/\ker p$, $\|\hat{x}\| = 1$ and $\|\hat{x} + \hat{y}\| + \|\hat{x} - \hat{y}\| < 2 + \varepsilon\|\hat{y}\|$.

(3) “ \Rightarrow ” Let (E, p) be complete. Then we prove that $(E/\ker p, \|\cdot\|_p)$ is also complete. In fact, let $\{\hat{x}_n\}$ be a Cauchy sequence in $(E/\ker p, \|\cdot\|_p)$, where $\{\hat{x}_n = x_n + \ker p\}$. Then $\|\hat{x}_n - \hat{x}_m\|_p = p(x_n - x_m) \rightarrow 0$ as $n, m \rightarrow \infty$. Hence, $\{x_n\}$ is a Cauchy sequence in (E, p) . Since (E, p) is complete, there exists an element $x_0 \in E$ such that $p(x_n - x_0) \rightarrow 0$ as $n \rightarrow \infty$. Hence, $\hat{x}_0 = x_0 + \ker p \in E/\ker p$ is the limit of the Cauchy sequence $\{\hat{x}_n\}$.

“ \Leftarrow ” by the same previous way. \square

Example 2.2. Let \mathbb{Q} be the set of all rational numbers. \mathbb{Q}^2 with the following norm $\|z = (x, y)\| = \sqrt{x^2 + y^2}$ is uniformly convex.

Define $\overline{\mathbb{Q}}^2 = \{(z_n) : (z_n) \text{ is a Cauchy sequence in } \mathbb{Q}^2\}$ and a seminorm on $\overline{\mathbb{Q}}^2$ by $p((z_n)) = \lim_{n \rightarrow \infty} \|z_n\|$. Since the associated normed space of $(\overline{\mathbb{Q}}^2, p)$ is \mathbb{R}^2 with the norm $\|(x, y)\| = \sqrt{x^2 + y^2}$ and it is uniformly convex (smooth), $(\overline{\mathbb{Q}}^2, p)$ is a uniformly convex (smooth) seminormed space.

Remark 2.3. The dual of a seminormed space (E, p) is a normed space.

In fact, for every $f \in (E, p)^*$, define $\|f\| = \sup_{x \notin \ker p} \frac{|f(x)|}{p(x)}$, which is norm. Since if $\|f\| = 0$, then $|f(x)| = 0$ for all $x \notin \ker p$ and $|f(x)| = 0$ for all $x \in \ker p$ because $|f(x)| \leq cp(x)$, so $f(x) = 0$ for all $x \in E$, f is the zero functional.

It is easy to prove $\|\lambda f\| = |\lambda| \|f\|$ for all $\lambda \in \mathcal{R}$ and $\|f + g\| \leq \|f\| + \|g\|$.

An interesting fact is the following:

Proposition 2.4. *The dual of a seminormed space (E, p) is isomorphically isometric to the dual of its associated normed space $(E/\ker p, \|\cdot\|_p)$.*

Proof. For any linear continuous functional $f \in (E, p)^*$, we define $\hat{f} \in (E/\ker p, \|\cdot\|_p)^*$ by $\hat{f}(x + \ker p) = f(x)$, then \hat{f} is well defined, linear and continuous. In fact, if $x + \ker p = y + \ker p$, then $x - y \in \ker p$, so $|f(x) - f(y)| \leq cp(x - y) = 0$.

On the other hand, for $\hat{f} \in (E/\ker p, \|\cdot\|_p)^*$, we define $f \in (E, p)^*$ by $f(x) = \hat{f}(x + \ker p)$, then f is well defined, linear and continuous.

To show the isometry, we have for any $f \in (E, p)^*$ and $\hat{f} \in (E/\ker p, \|\cdot\|_p)^*$,

$$\|\hat{f}\| = \sup_{\hat{x} \neq 0} \frac{|\hat{f}(\hat{x})|}{\|\hat{x}\|_p} = \sup_{x \notin \ker p} \frac{|f(x)|}{p(x)} = \|f\|. \quad \square$$

Corollary 2.5. *A seminormed space (E, p) is uniformly convex (smooth) if and only if its dual $(E, p)^*$ is uniformly smooth (convex).*

3. Completion of Countably Seminormed Space

In 1998, Merkle [2] introduced the completion of countably seminormed space. We quoted his work and changed some notations to be suitable to prove our work.

For fixed seminorm p_n , one can define a seminorm \bar{p}_n on the space

$$E_{p_n} = \{\{x_i\} : \{x_i\} \text{ is } p_n \text{ Cauchy sequence}\}$$

as follows:

$$\bar{p}_n(\{x_i\}_{i \in \mathcal{N}}) = \lim_{i \rightarrow \infty} p_n(x_i).$$

This limit exists, because $\{p_n(x_i)\}_{i \in \mathcal{N}}$ is a Cauchy sequence in \mathcal{R} .

By standard arguments, one can prove that (E_{p_n}, \bar{p}_n) is the completion of the countably seminormed space E if equipped with only the seminorm p_n .

In fact, defining a map $\pi : E \rightarrow E_{p_n}$ by $\pi(x) = (x, x, x, \dots)$ gives a 1-1

isometrical and isomorphical mapping of E (as a seminormed space with p_n) onto a dense linear subspace of the space (E_{p_n}, \bar{p}_n) .

Since for any $x \in E$, $p_n(x) \leq p_{n+1}(x)$, $\forall n \in \mathcal{N}$, every p_{n+1} Cauchy sequence is p_n Cauchy sequence.

So

$$E \subset \cdots \subset E_{p(n+1)} \subset E_{p_n} \subset \cdots \subset E_{p_1}.$$

Therefore, $\bigcap_{n \in \mathcal{N}} E_{p_n}$ is a countably seminormed space with

$$\bar{p}_1(x) \leq \bar{p}_2(x) \leq \cdots \leq \bar{p}_n(x) \leq \cdots, \forall x \in \bigcap_{n \in \mathcal{N}} E_{p_n},$$

but it is not, in general, a Hausdorff space because $\bar{p}_n(\{x_i\}_{i \in \mathcal{N}}) = 0$ for all $n \in \mathcal{N}$ does not necessarily imply that $\{x_i\}_{i \in \mathcal{N}}$ is the zero sequence. In fact, $\bigcap_{n \in \mathcal{N}} \ker \bar{p}_n$ may not be the zero sequence.

On the factor space $\bigcap_{n \in \mathcal{N}} E_{p_n} / \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i$, we define

$$\hat{p}_n(\hat{x}) = \hat{p}_n \left(\{x_i\}_{i \in \mathcal{N}} + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i \right) = \bar{p}_n(\{x_i\}).$$

Assume $\hat{E}_{p_n} = E_{p_n} / \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i$. In this case, $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ equipped with the seminorms $\hat{p}_1(\hat{x}) \leq \hat{p}_2(\hat{x}) \leq \cdots \leq \hat{p}_n(\hat{x}) \leq \cdots$, $\forall \hat{x} \in \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ is a countably seminormed Hausdorff space.

Defining $\hat{\pi} : E \rightarrow \hat{E}_{p_n}$ such that $\hat{\pi}(x) = \{x, x, x, \dots\} + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i$, we see that E is isomorphically isometric to a linear dense subset of \hat{E}_{p_n} , i.e.,

$$E \subset \cdots \subset \hat{E}_{p_{n+1}} \subset \hat{E}_{p_n} \subset \cdots \subset \hat{E}_{p_1}.$$

Proposition 3.1 [2]. *Let E be a countably seminormed space. Then $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ is a complete space. Moreover, there is an isometric and isomorphic, 1-1 mapping $\hat{\pi}$ of E onto a dense subspace of $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$. E is complete if and only if*

$$\hat{\pi}(E) = \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}.$$

We may write $E = \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$.

Proof. Elements of $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ are equivalence classes of sequences that are p_n -Cauchy for all n .

For any two Cauchy sequences $\{x_n\}$ and $\{y_n\}$ (in the sense of all p_n) in E , let $\hat{x}, \hat{y} \in \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ be the two equivalence classes containing the two sequences $\{x_n\}$ and $\{y_n\}$, respectively.

One can write $\hat{x} = \{x_n\} + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i$ and $\hat{y} = \{y_n\} + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i$.

We define the metric

$$\begin{aligned} \hat{d}(\hat{x}, \hat{y}) &= \sum_{i=1}^{\infty} \frac{1}{2^i} \frac{\hat{p}_i(\hat{x} - \hat{y})}{1 + \hat{p}_i(\hat{x} - \hat{y})} \\ &= \sum_{i=1}^{\infty} \frac{1}{2^i} \frac{\bar{p}_i(x_n - y_n)}{1 + \bar{p}_i(x_n - y_n)}. \end{aligned}$$

In fact, if $\hat{d}(\hat{x}, \hat{y}) = 0$, then $\bar{p}_i(x_n - y_n) = 0$ for all i , hence $\{x_n\} - \{y_n\} \in \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i$ and so the sequences $\{x_n\}$ and $\{y_n\}$ belong to the same equivalence class in $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$, i.e., $\hat{x} = \hat{y}$.

To show that $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ is complete, let $\{\hat{x}_k\}$ be a Cauchy sequence in

$\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$. We have

$$\hat{d}(\hat{x}_j, \hat{x}_k) = \lim_{n \rightarrow \infty} d(x_j^n, x_k^n) \rightarrow 0 \text{ as } j, k \rightarrow \infty.$$

For each k , let us choose a Cauchy sequence $\{x_k^n\}_{n \in \mathcal{N}}$ in E that belongs to the equivalence class \hat{x}_k . So we choose n_k such that $d(x_k^m, x_k^{n_k}) < \frac{1}{k}$ if $m \geq n_k$.

Now let us define \hat{x}_0 to be the equivalence class that contains the sequence

$$(x_1^{n_1}, x_2^{n_2}, \dots, x_k^{n_k}, \dots).$$

For $m \geq \max(n_j, n_k)$, we get

$$\begin{aligned} d(x_j^{n_j}, x_k^{n_k}) &\leq d(x_j^{n_j}, x_j^m) + d(x_j^m, x_k^m) + d(x_k^m, x_k^{n_k}) \\ &\leq \frac{1}{j} + \frac{1}{k} + d(x_j^m, x_k^m). \end{aligned}$$

Letting $j, k \rightarrow \infty$ and so n_j, n_k and $m \rightarrow \infty$, we get

$$d(x_j^{n_j}, x_k^{n_k}) \rightarrow 0 \text{ as } j, k \rightarrow \infty.$$

So $(x_1^{n_1}, x_2^{n_2}, \dots, x_k^{n_k}, \dots)$ is a Cauchy sequence in E (in the sense of all p_n)

and \hat{x}_0 is in $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$.

For any fixed j, k with $j > n_k$, we have

$$d(x_k^j, x_j^{n_j}) \leq d(x_k^j, x_k^{n_k}) + d(x_k^{n_k}, x_j^{n_j}) \leq \frac{1}{k} + d(x_k^{n_k}, x_j^{n_j}).$$

Letting $j, k \rightarrow \infty$, we get $\lim_{k \rightarrow \infty} \hat{d}(\hat{x}_k, \hat{x}_0) = \lim_{k \rightarrow \infty} \lim_{j \rightarrow \infty} d(x_k^j, x_j^{n_j}) = 0$. Then

$\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ is complete. □

Defining a mapping $\hat{\pi} : E \rightarrow \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ such that

$$\hat{\pi}(x) = (x, x, x, \dots) + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i,$$

we prove that $\hat{\pi}(E)$ is a dense subspace in $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$.

Since

$$\hat{d}(\hat{\pi}(x), \hat{\pi}(y)) = \sum_{i=1}^{\infty} \frac{1}{2^i} \frac{p_i(x-y)}{1+p_i(x-y)} = d(x, y),$$

so $\hat{\pi}$ is an isometry and a 1-1 mapping.

Let $\{x_n\} + \bigcap_{n \in \mathcal{N}} \ker \bar{p}_i \in \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$. Then $\{x_n\}$ is a d -Cauchy sequence

in E , so $\{\hat{\pi}(x_n)\}_{n \in \mathcal{N}}$ is a \hat{d} -Cauchy sequence in $\hat{\pi}(E)$, and as $n \rightarrow \infty$, we get

$$\hat{d}\left(\hat{\pi}(x_n), \{x_n\} + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i\right) \rightarrow 0,$$

hence $\hat{\pi}(E)$ is dense in $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$.

Now we show that $\hat{\pi}(E) = \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ is a necessary and sufficient

condition for completeness of E .

In fact, if $\hat{\pi}(E) = \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$, then knowing that $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$ is complete,

we see that $\hat{\pi}(E)$ also is complete and hence also is E .

On the other hand, if E is complete, then we show that $\bigcap_{n \in \mathcal{N}} \hat{E}_{p_n} \subset \hat{\pi}(E)$. For any $y = \{x_n\} + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i \in \bigcap_{n \in \mathcal{N}} \hat{E}_{p_n}$, we get $\{\hat{\pi}(x_n)\}_{n \in \mathcal{N}} \rightarrow y$ in the metric \hat{d} . By completeness of E , there is $z \in E$ such that $x_n \rightarrow z$.

Since $\hat{\pi}$ is an isometry, uniqueness of limits implies that $y = \hat{\pi}(z) \in \hat{\pi}(E)$. \square

4. The Dual of a Countably Seminormed Space

Each complete seminormed space \hat{E}_{p_n} has a dual, which is a Banach space denoted by $\hat{E}_{p_n}^*$ (by Proposition 2.4, the dual of \hat{E}_{p_n} is the dual of its associated Banach space $(\hat{E}_{p_n}, \|\cdot\|_{\bar{p}_n})$, where $\bar{E}_{p_n} = E_{p_n}/\ker \bar{p}_n$).

Proposition 4.1. *The dual of a countably seminormed space E is given*

by $E^ = \bigcup_{n=1}^{\infty} \hat{E}_{p_n}^* = \bigcup_{n=1}^{\infty} \bar{E}_{p_n}^*$ and we have the following inclusions:*

$$\hat{E}_{p_1}^* \subset \cdots \subset \hat{E}_{p_n}^* \subset \hat{E}_{p_{n+1}}^* \subset \cdots \subset E^*.$$

Moreover, for $f \in \hat{E}_{p_n}^$, we have $\|f\|_n \geq \|f\|_{n+1}$.*

Proof. First we prove that $E^* = \bigcup_{n=1}^{\infty} \hat{E}_{p_n}^*$. For any $\hat{f} \in \hat{E}_{p_n}^*$, the

functional defined by $f(x) = \hat{f}(\hat{x})$ for any $x \in E$ and $\hat{x} = (x, x, x, \dots) + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i \in \hat{E}_{p_n}$ is well defined, linear and continuous with respect to the seminorm p_n . In fact,

$$|f(x)| = |\hat{f}(\hat{x})| \leq c\hat{p}_n(\hat{x}) = cp_n(x).$$

Since p_n is one of the seminorms generating the topology on E , $f \in E^*$ (Definition 1.11).

On the other hand, for any $f \in E^*$, there exist a seminorm p_{n_0} on E and a constant $c > 0$ such that for all $x \in E$, $|f(x)| \leq cp_{n_0}(x)$. Since (E, p_{n_0}) is isomorphically isometric to

$$\left(\hat{W} = \left\{ \hat{x}_w = (x, x, x, \dots) + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i : x \in E \right\}, \hat{p}_{n_0} \right),$$

we can define $\tilde{f} \in \hat{W}^*$ by $\tilde{f}(\hat{x}_w) = f(x)$.

Since \hat{W} is dense in $\hat{E}_{p_{n_0}}$, defining $\hat{f} \in \hat{E}_{p_{n_0}}^*$ by

$$\begin{aligned} \hat{f} \left((x_n)_{n \in \mathcal{N}} + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i \right) &= \lim_{n \rightarrow \infty} \tilde{f} \left((x_n, x_n, x_n, \dots) + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i \right) \\ &= \lim_{n \rightarrow \infty} f(x_n), \end{aligned}$$

\hat{f} is well defined, linear and continuous (because (x_n) is a Cauchy sequence and f is continuous functional, so $f(x_n)$ is a Cauchy sequence in \mathcal{R}).

Second since \bar{E}_{p_n} is the associated normed space of \hat{E}_{p_n} , by Proposition 2.4, we get $\bar{E}_{p_n}^* = \hat{E}_{p_n}^*$. Hence, $\bigcup_{n=1}^{\infty} \hat{E}_{p_n}^* = \bigcup_{n=1}^{\infty} \bar{E}_{p_n}^*$.

Third since $\hat{p}_n \leq \hat{p}_{n+1}$, the continuity of a functional f with respect to \hat{p}_n implies its continuity with respect to \hat{p}_{n+1} . Hence, $\hat{E}_{p_n}^* \subset \hat{E}_{p_{n+1}}^*$. \square

Definition 4.2 (Uniformly convex countably seminormed space). A countable seminormed space E is said to be *uniformly convex* if \hat{E}_{p_n} is uniformly convex for all n , i.e., if for each n , $\forall \varepsilon > 0$, $\exists \delta_n(\varepsilon) > 0$ such that

if $\bar{x}, \bar{y} \in \bar{E}_{p_n}$ with $\|\bar{x}\|_{\bar{p}_n} = 1 = \|\bar{y}\|_{\bar{p}_n}$ and $\|\bar{x} - \bar{y}\|_{\bar{p}_n} \geq \varepsilon$, then $1 - \left\| \frac{\bar{x} + \bar{y}}{2} \right\|_{\bar{p}_n} \geq \delta_n$.

Definition 4.3 (Uniformly smooth countably seminormed space). A countable seminormed space E is said to be *uniformly smooth* if \hat{E}_{p_n} is uniformly smooth for all n , i.e., if for each n whenever given $\varepsilon > 0$, there exists $\delta_n > 0$ such that if $\bar{x}, \bar{y} \in \bar{E}_{p_n}$ with $\|\bar{x}\|_{\bar{p}_n} = 1$ and $\|\bar{y}\|_{\bar{p}_n} \leq \delta_n$, then

$$\|\bar{x} + \bar{y}\|_{\bar{p}_n} + \|\bar{x} - \bar{y}\|_{\bar{p}_n} < 2 + \varepsilon \|\bar{y}\|_{\bar{p}_n}.$$

Example 4.4. Let $X = \mathbb{Q}^2$. Define $X^\infty = \mathbb{Q}^2 \times \mathbb{Q}^2 \times \mathbb{Q}^2 \times \dots$ and seminorms on X^∞ by $p_i(z) = \|z_i\| = \sqrt{x_i^2 + y_i^2}$, where $z = (z_1, z_2, z_3, \dots) \in X^\infty$ and $z_n = (x_n, y_n) \in \mathbb{Q}^2$. $(X^\infty, \{p_i, i \in \mathbb{N}\})$ is a countably seminormed space.

Define $\bar{X}_{p_i}^\infty = \{(z^n) : (z^n) \text{ is } p_i \text{ Cauchy sequence}\}$, where $z^n = (z_1^n, z_2^n, z_3^n, \dots) \in X^\infty$ and a seminorm on $\bar{X}_{p_i}^\infty$ by $\bar{p}_i((z^n)) = \lim_{n \rightarrow \infty} p_i(z^n)$.

To show that $(X^\infty, \{p_i, i \in \mathbb{N}\})$ is a uniformly convex (smooth) seminormed space, we must prove that $\bar{X}_{p_i}^\infty / \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i$ with the seminorm

$$\hat{p}_i \left((z^n) + \bigcap_{i \in \mathcal{N}} \ker \bar{p}_i \right) = \bar{p}_i((z^n))$$

is uniformly convex (smooth) or its

associated normed space $\bar{X}_{p_i}^\infty / \ker \bar{p}_i$ with $\|(z^n) + \ker \bar{p}_i\|_{p_i} = \bar{p}_i((z^n))$ is uniformly convex (smooth). In the associated normed space, two elements (z^n) and (\dot{z}^n) , where $z^n = (z_1^n, z_2^n, z_3^n, \dots)$ and $(\dot{z}^n, \dot{z}_2^n, \dot{z}_3^n, \dots)$ belong to the same equivalence class if both (z_i^n) and (\dot{z}_i^n) are p_i Cauchy sequences

(i.e., it is a Cauchy sequence in the i th coordinate) and the p_i limit of the difference between (z_i^n) and (\dot{z}_i^n) is convergent to zero. Thus, the associated normed space is \mathbb{R}^2 with the norm $\|(x, y)\| = \sqrt{x^2 + y^2}$, which is uniformly convex (smooth).

Example 4.5. For \mathbb{R}^2 with two norms $\|(x, y)\|_2 = \sqrt{x^2 + y^2}$ and $\|(x, y)\|_\infty = \max\{|x|, |y|\}$, $(\mathbb{R}^2, \|\cdot\|_2)$ is uniformly convex but $(\mathbb{R}^2, \|\cdot\|_\infty)$ is not uniformly convex.

Define $\ell^1(\mathbb{R}^2) = \left\{ (z_n) : z_n \in \mathbb{R}^2, \forall n \in \mathbb{N}, \sum_{n=1}^{\infty} \|z_n\|_2 < \infty \right\}$ and $p_0((z_n)) = \sum_{n=1}^{\infty} \|z_n\|_2$, $p_1((z_n)) = \|z_1\|_2$ and $p_i((z_n)) = \|z_i\|_\infty$, $\forall i = 2, 3, \dots$.

$(\ell^1(\mathbb{R}^2), p_0)$ is not a uniformly convex normed space. In fact, for $\varepsilon = 1$ and $z = ((1, 0), (0, 0), (0, 0), \dots)$, $\dot{z} = ((0, 0), (-1, 0), (0, 0), \dots)$.

Clearly, $p_0(z) = 1 = p_0(\dot{z})$, $p_0(z - \dot{z}) = 2 > \varepsilon$. However, $p_0\left(\frac{1}{2}(z + \dot{z})\right) = 1$.

So there is no $\delta > 0$ satisfying $p_0\left(\frac{1}{2}(z + \dot{z})\right) = 1 - \delta$. $(\ell^1(\mathbb{R}^2), \{p_i, i = 0, 1, 2, \dots\})$ is a countably normed space (see Remark 1.3). $(\ell^1(\mathbb{R}^2), p_1)$ is a uniformly convex seminormed space. $(\ell^1(\mathbb{R}^2), p_i)$ is not a uniformly convex seminormed space, $\forall i = 2, 3, \dots$.

Therefore, $(\ell^1(\mathbb{R}^2), \{p_i, i = 0, 1, 2, \dots\})$ is not a uniformly convex seminormed space.

In the following, we extend some theorems in [4] to the case of countably seminormed spaces.

Proposition 4.6. *A countably seminormed linear space E is uniformly convex if and only if for each n , we have $\delta_{\bar{E}_{p_n}}(\varepsilon) > 0$ for all $\varepsilon \in (0, 2]$.*

Proof. Assume that $(\bar{E}_{p_n}, \|\cdot\|_{\bar{p}_n})$ is uniformly convex for all n . Then, for each n , given $\varepsilon > 0$, there exists $\delta_n > 0$ such that $\delta_n \leq 1 - \left\| \frac{\bar{x} + \bar{y}}{2} \right\|_{\bar{p}_n}$ for every \bar{x} and \bar{y} in \bar{E}_{p_n} such that $\|\bar{x}\|_{\bar{p}_n} = 1 = \|\bar{y}\|_{\bar{p}_n}$ and $\|\bar{x} - \bar{y}\|_{\bar{p}_n} \leq \varepsilon$. Therefore, $\delta_{\bar{E}_{p_n}}(\varepsilon) \geq \delta_n > 0$ for all n .

Conversely, assume that for each n , $\delta_{\bar{E}_{p_n}}(\varepsilon) > 0$ for all $\varepsilon \in (0, 2]$. Fix $\varepsilon \in (0, 2]$ and take \bar{x}, \bar{y} in \bar{E}_{p_n} with $\|\bar{x}\|_{\bar{p}_n} = 1 = \|\bar{y}\|_{\bar{p}_n}$ and $\|\bar{x} - \bar{y}\|_{\bar{p}_n} \geq \varepsilon$, then $0 < \delta_{\bar{E}_{p_n}}(\varepsilon) \leq 1 - \left\| \frac{\bar{x} + \bar{y}}{2} \right\|_{\bar{p}_n}$ and therefore $\left\| \frac{\bar{x} + \bar{y}}{2} \right\|_{\bar{p}_n} \leq 1 - \delta_n$ with $\delta_n = \delta_{\bar{E}_{p_n}}(\varepsilon)$, which does not depend on \bar{x} or \bar{y} . Then $(\bar{E}_{p_n}, \|\cdot\|_{\bar{p}_n})$ is uniformly convex for all n and hence the countably normed space E is uniformly convex. \square

Theorem 4.7. *A countably seminormed space E is uniformly smooth if and only if*

$$\lim_{t \rightarrow 0^+} \frac{\rho_{\bar{E}_{p_n}}(t)}{t} = 0, \forall n.$$

Proof. Assume that $(\bar{E}_{p_n}, \|\cdot\|_{\bar{p}_n})$ is uniformly smooth for each n and if $\varepsilon > 0$, then there exists $\delta_n > 0$ such that $\frac{\|\bar{x} + \bar{y}\|_{\bar{p}_n} + \|\bar{x} - \bar{y}\|_{\bar{p}_n}}{2} - 1 < \frac{\varepsilon}{2} \|\bar{y}\|_{\bar{p}_n}$ for every \bar{x}, \bar{y} in \bar{E}_{p_n} with $\|\bar{x}\|_{\bar{p}_n} = 1$ and $\|\bar{y}\|_{\bar{p}_n} = \delta_n$. This implies that for each n , we have $\rho_{\bar{E}_{p_n}}(t) < \frac{\varepsilon}{2} t$ for every $t < \delta_n$.

Conversely, for each n , given $\varepsilon > 0$, suppose that there exists $\delta_n > 0$

such that $\frac{\rho_{\bar{E}_{p_n}}(t)}{t} < \frac{\varepsilon}{2}$ for every $t < \delta_n$. Let \bar{x}, \bar{y} be in \bar{E}_{p_n} such that $\|\bar{x}\|_{\bar{p}_n} = 1$ and $\|\bar{y}\|_{\bar{p}_n} = \delta_n$. Then with $t = \|\bar{y}\|_{\bar{p}_n}$, we have $\|\bar{x} + \bar{y}\|_{\bar{p}_n} + \|\bar{x} - \bar{y}\|_{\bar{p}_n} < 2 + \varepsilon \|\bar{y}\|_{\bar{p}_n}$. Then $(\bar{E}_{p_n}, \|\cdot\|_{\bar{p}_n})$ is uniformly smooth for all n and hence the countably normed space E is uniformly smooth. \square

Now, we prove one of the fundamental links between the Lindenstrauss duality formulas.

Proposition 4.8. *Let E be a countably seminormed space. For each Banach space \bar{E}_{p_n} , we have: for every $\tau > 0$, $\bar{x} \in \bar{E}_{p_n}$, $\|\bar{x}\|_{\bar{p}_n} = 1$ and $x^* \in \bar{E}_{p_n}^*$ with $\|x^*\|_n = 1$, then*

$$\rho_{\bar{E}_{p_n}}(\tau) = \sup \left\{ \frac{\tau \varepsilon}{2} - \delta_{\bar{E}_{p_n}^*}(\varepsilon) : 0 < \varepsilon \leq 2 \right\}.$$

Proof. Let $\tau > 0$ and let $x^*, y^* \in \bar{E}_{p_n}^*$ with $\|x^*\|_n = \|y^*\|_n = 1$. For any $\eta > 0$, from the definition of $\|\cdot\|_n$ in $\bar{E}_{p_n}^*$, there exist $\bar{x}_0, \bar{y}_0 \in \bar{E}_{p_n}$ with $\|\bar{x}_0\|_{\bar{p}_n} = \|\bar{y}_0\|_{\bar{p}_n} = 1$ such that

$$\|x^* + y^*\|_n - \eta \leq \langle \bar{x}_0, x^* + y^* \rangle_n, \quad \|x^* - y^*\|_n - \eta \leq \langle \bar{y}_0, x^* - y^* \rangle_n.$$

Using these two inequalities together with the fact that in Banach spaces, we have $\|\bar{x}\|_{\bar{p}_n} = \sup\{\langle \bar{x}, x^* \rangle_n : \|x^*\|_n = 1\}$, then

$$\begin{aligned} & \|x^* + y^*\|_n + \tau \|x^* - y^*\|_n - 2 \\ & \leq \langle \bar{x}_0, x^* + y^* \rangle_n + \tau \langle \bar{y}_0, x^* - y^* \rangle_n - 2 + \eta(1 + \tau) \\ & = \langle \bar{x}_0 + \tau \bar{y}_0, x^* \rangle_n + \langle \bar{x}_0 - \tau \bar{y}_0, y^* \rangle_n - 2 + \eta(1 + \tau) \end{aligned}$$

$$\begin{aligned}
&\leq \| \bar{x}_0 + \tau \bar{y}_0 \|_n + \| \bar{x}_0 - \tau \bar{y}_0 \|_n - 2 + \eta(1 + \tau) \\
&\leq \sup\{ \| \bar{x} + \tau \bar{y} \|_{\bar{p}_n} + \| \bar{x} - \tau \bar{y} \|_{\bar{p}_n} - 2 : \| \bar{x} \|_{\bar{p}_n} = \| \bar{y} \|_{\bar{p}_n} = 1\} + \eta(1 + \tau) \\
&= 2\rho_{\bar{E}_{p_n}}(\tau) + \eta(1 + \tau).
\end{aligned}$$

If $0 < \varepsilon \leq \| x^* - y^* \|_n \leq 2$, then we have

$$\frac{\tau\varepsilon}{2} - \rho_{\bar{E}_{p_n}}(\tau) - \frac{\eta}{2}(1 + \tau) \leq 1 - \left\| \frac{x^* + y^*}{2} \right\|_n,$$

which implies that

$$\frac{\tau\varepsilon}{2} - \rho_{\bar{E}_{p_n}}(\tau) - \frac{\eta}{2}(1 + \tau) \leq \delta_{\bar{E}_{p_n}^*}(\varepsilon).$$

Since η is arbitrary, we conclude that

$$\begin{aligned}
&\frac{\tau\varepsilon}{2} - \rho_{\bar{E}_{p_n}}(\tau) \leq \delta_{\bar{E}_{p_n}^*}(\varepsilon), \quad \forall \varepsilon \in (0, 2] \\
&\Rightarrow \sup\left\{ \frac{\tau\varepsilon}{2} - \delta_{\bar{E}_{p_n}^*}(\varepsilon) : \varepsilon \in (0, 2] \right\} \leq \rho_{\bar{E}_{p_n}}(\tau).
\end{aligned}$$

On the other hand, let \bar{x}, \bar{y} be in \bar{E}_{p_n} with $\| \bar{x} \|_{\bar{p}_n} = \| \bar{y} \|_{\bar{p}_n} = 1$ and let $\tau > 0$. By Hahn-Banach theorem, there exist $x_0^*, y_0^* \in \bar{E}_{p_n}^*$ with $\| x_0^* \|_n = \| y_0^* \|_n = 1$ such that

$$\langle \bar{x} + \tau \bar{y}, x_0^* \rangle_n = \| \bar{x} + \tau \bar{y} \|_{\bar{p}_n}, \quad \langle \bar{x} - \tau \bar{y}, y_0^* \rangle_n = \| \bar{x} - \tau \bar{y} \|_{\bar{p}_n}.$$

Then

$$\begin{aligned}
\| \bar{x} + \tau \bar{y} \|_{\bar{p}_n} + \| \bar{x} - \tau \bar{y} \|_{\bar{p}_n} - 2 &= \langle \bar{x} + \tau \bar{y}, x_0^* \rangle_n + \langle \bar{x} - \tau \bar{y}, y_0^* \rangle_n - 2 \\
&= \langle \bar{x}, x_0^* + y_0^* \rangle_n + \tau \langle \bar{y}, x_0^* - y_0^* \rangle_n - 2 \\
&\leq \| x_0^* + y_0^* \|_n + \tau | \langle \bar{y}, x_0^* - y_0^* \rangle_n | - 2.
\end{aligned}$$

Hence, if we define $\varepsilon_0 := |\langle \bar{y}, x_0^* - y_0^* \rangle_n|$, then $0 < \varepsilon_0 \leq \|x_0^* - y_0^*\|_n \leq 2$ and

$$\begin{aligned} \frac{\|\bar{x} + \tau \bar{y}\|_{\bar{p}_n} + \|\bar{x} - \tau \bar{y}\|_{\bar{p}_n}}{2} - 1 &\leq \frac{\|x_0^* + y_0^*\|_n + \tau |\langle \bar{y}, x_0^* - y_0^* \rangle_n|}{2} - 1 \\ &= \frac{\tau \varepsilon_0}{2} - \left(1 - \frac{\|x_0^* + y_0^*\|_n}{2}\right) \\ &\leq \frac{\tau \varepsilon_0}{2} - \delta_{\bar{E}_{p_n}^*}(\varepsilon_0) \\ &\leq \sup \left\{ \frac{\tau \varepsilon}{2} - \delta_{\bar{E}_{p_n}^*}(\varepsilon) : 0 < \varepsilon \leq 2 \right\}. \end{aligned}$$

Therefore,

$$\rho_{\bar{E}_{p_n}}(t) \leq \sup \left\{ \frac{\tau \varepsilon}{2} - \delta_{\bar{E}_{p_n}^*}(\varepsilon) : 0 < \varepsilon \leq 2 \right\}. \quad \square$$

The following result gives or determines some duality property between uniform convexity and uniform smoothness.

Theorem 4.9. *Let E be a countably seminormed space. Then*

$$E \text{ is uniformly smooth} \Leftrightarrow \bar{E}_{p_n}^* \text{ is uniformly convex for all } n.$$

Proof. We will prove both directions by contradiction.

“ \Rightarrow ” Assume that $(\bar{E}_{p_{n_0}}^*, \|\cdot\|_{n_0})$ is not uniformly convex for some n_0 .

Therefore, $\delta_{\bar{E}_{p_{n_0}}^*}(\varepsilon_0) = 0$ for some $\varepsilon_0 \in (0, 2]$. Using previous proposition,

we get for any $\tau > 0$,

$$0 < \frac{\varepsilon_0}{2} \leq \frac{\rho_{\bar{E}_{p_{n_0}}^*}(\tau)}{\tau} \text{ hence } \lim_{\tau} \frac{\rho_{\bar{E}_{p_{n_0}}^*}(\tau)}{\tau} \neq 0,$$

which shows that E is not uniformly smooth.

“ \Leftarrow ” Assume that E is not uniformly smooth. Then

$$\exists n_0 : \lim_{t \rightarrow 0^+} \frac{\rho_{\bar{E}_{p_{n_0}}}(t)}{t} \neq 0,$$

this means that there exists $\varepsilon > 0$ such that for every $\delta > 0$, we can find t_δ with $0 < t_\delta < \delta$ and $\rho_{\bar{E}_{p_{n_0}}}(t_\delta) \geq t_\delta \varepsilon$. Consequently, one can choose a

sequence (τ_n) such that $0 < \tau_n < 1$, $\tau_n \rightarrow 0$ and $\rho_{\bar{E}_{p_{n_0}}}(\tau_n) \geq \varepsilon \tau_n < \frac{\varepsilon}{2} \tau_n$.

Using previous proposition, for every n , there exists $\varepsilon_n \in (0, 2]$ such that

$$\frac{\varepsilon}{2} \tau_n \leq \frac{\tau_n \varepsilon_n}{2} - \delta_{\bar{E}_{p_{n_0}}^*}(\varepsilon_n),$$

which implies

$$0 < \delta_{\bar{E}_{p_{n_0}}^*}(\varepsilon_n) \leq \frac{\tau_n}{2}(\varepsilon_n - \varepsilon),$$

in particular, $\varepsilon < \varepsilon_n$ and $\delta_{\bar{E}_{p_{n_0}}^*}(\varepsilon_n) \rightarrow 0$. Recalling the fact that δ_{E^*} is a

nondecreasing function, we get $\delta_{\bar{E}_{p_{n_0}}^*}(\varepsilon) \leq \delta_{\bar{E}_{p_{n_0}}^*}(\varepsilon_n) \rightarrow 0$. Therefore, E^*

is not uniformly convex. \square

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