



## ON $C_2^t$ -CONSTRUCTION

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### Abstract

Let  $(R, m, k)$  be a local commutative  $k$ -subalgebra of  $M_n(k)$  with nilpotent maximal ideal  $m$  and residue class field  $k$ . In this paper, we introduce an equivalent condition for  $R$  to be an algebra of the  $C_2^t$ -construction which produces an algebra in  $MC_{n+t}(k)$  from an algebra in  $MC_n(k)$ .

### 1. Introduction

In this paper,  $k$  denotes an arbitrary field and  $(R, m, k)$  denotes a local commutative  $k$ -subalgebra of  $M_n(k)$  with nilpotent maximal ideal  $m$  and residue class field  $k$ . We denote the set of all local maximal commutative  $k$ -subalgebras of  $M_n(k)$  by  $MC_n(k)$ .

Brown and Call [1] introduced  $C_1$ -construction and Brown [2] introduced  $C_2$ -construction.

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In [8],  $C_2^t$ -construction is introduced which is useful to construct an algebra  $R$  in  $MC_{n+t}(k)$  from an algebra  $B$  in  $MC_n(k)$ . Using the  $C_2^t$ -construction, an algebra  $B$  in  $MC_n(k)$  with  $\dim_k(B) = s$  can be embedded in an algebra  $R$  in  $MC_{n+t}(k)$  with  $\dim_k(R) = s + t$ . Moreover, if  $s < n$ , then we can construct infinitely many algebras  $R$  in  $MC_{n+t}(k)$  whose dimensions are less than the size of the matrix.

In this paper, we shall introduce an equivalent condition to be an algebra of the  $C_2^t$ -construction.

Furthermore, we shall show the relation between  $C_2^t$ -construction and  $C_i$ -construction for  $i = 1, 2$ .

## 2. Theorems Prerequisite to the Main Results

A commutative  $k$ -algebra  $R$  is a  $C_1$ -construction if  $R$  has an ideal  $I$  satisfying the equivalence condition in the following theorem.

**Theorem 2.1** [1]. *Let  $(R, m, k)$  be a commutative  $k$ -algebra. Then  $R$  is a  $C_1$ -construction if and only if there is an ideal  $I$  satisfying the following conditions:*

- (1)  $\text{Ann}_R(I) = I$ ,
- (2)  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$  splits as  $k$ -algebras.

**Theorem 2.2** [2, 3]. *Let  $(B, m_B, k)$  be a finite dimensional commutative  $k$ -algebra with identity and  $N$  be a finitely generated faithful  $B$ -module. Suppose  $B$  is isomorphic to  $\text{Hom}_B(N, N)$  via the regular representation. Then there exists an element  $w \in \text{soc}(B)$  with  $\dim_k(Nw) = 1$ .*

Theorem 2.3 is an equivalent condition for a  $k$ -algebra  $R$  to be an algebra of the  $C_2$ -construction. The proof can be found in [3].

**Theorem 2.3** [3]. *Let  $(R, m, k)$  be a finite dimensional commutative  $k$ -algebra with identity. Then  $R$  is a  $C_2$ -construction if and only if  $R$*

contains a  $k$ -subalgebra  $(B, m_B, k)$  and an element  $x \in m$  satisfying the following conditions:

- (1)  $0 \neq x^p \in \text{soc}(B)$  for some positive integer  $p > 1$ ,
- (2)  $m_B x = (0)$ ,
- (3)  $\dim_k(R) = \dim_k(B) + (p - 1)$ .

The  $k$ -algebra  $R$  of the following Theorem 2.4 is called a  $C_2^t$ -construction that can be found in [8].

**Theorem 2.4** [8]. *Let  $(B, m_B, k)$  be a finite dimensional commutative  $k$ -algebra with identity. Let  $N$  be a finitely generated faithful  $B$ -module of dimension  $n$ . Suppose  $B$  is isomorphic to  $\text{Hom}_B(N, N)$  via the regular representation. Let  $t$  be a positive integer and*

$$R = B[X_1, X_2, \dots, X_t]/I,$$

where  $I$  is an ideal generated by the following:

$$m_B X_1, \dots, m_B X_t, X_1^2 - w, \dots, X_t^2 - w, X_i X_j \ (1 \leq i \neq j \leq t).$$

Here,  $w \in \text{soc}(B) - \{0\}$  with  $\dim_k(Nw) = 1$  in Theorem 2.2. If we let  $M = N \oplus (\oplus_{i=1}^t) Nw$ , then the  $k$ -algebra  $R$  is isomorphic to  $\text{Hom}_R(M, M)$  via the regular representation. In other words,  $R$  is isomorphic to a maximal commutative subalgebra of  $M_{n+t}(k)$ , where  $\dim_k(M) = n + t$ .

### 3. $C_2^t$ -construction

The following theorem is the main result of this paper which is an equivalent condition to be a  $C_2^t$ -construction.

**Theorem 3.1.** *Let  $(R, m, k)$  be a finite dimensional local commutative algebra and  $t$  be a positive integer. Then  $R$  is a  $C_2^t$ -construction if and only if there exist a commutative subalgebra  $(B, m_B, k)$  of  $R$  and elements  $x_i \in m$ ,  $i = 1, 2, \dots, t$  satisfying the following properties:*

- (1)  $x_i^2 = x_j^2 \in \text{soc}(B) - \{0\}$  for all  $1 \leq i, j \leq t$ ,
- (2)  $x_i x_j = 0$  for all  $1 \leq i \neq j \leq t$ ,
- (3)  $m_B x_i = (0)$  for all  $1 \leq i \leq t$ ,
- (4)  $\dim_k(R) = \dim_k(B) + t$ .

**Proof.** Suppose  $R$  is a  $C_2^t$ -construction. Then, by the definition of  $C_2^t$ -construction,  $R$  has a commutative subalgebra  $(B, m_B, k)$  and elements  $x_i \in m$  satisfying the four conditions (1), (2), (3) and (4).

Conversely, suppose there exist a subalgebra  $B$  and elements  $x_i \in m$  such that the four conditions are satisfied. Let  $x_i^2 = w \in \text{soc}(B)$  and  $I$  be the ideal generated by the following elements:

$$m_B X_1, \dots, m_B X_t, X_1^2 - w, \dots, X_t^2 - w, X_i X_j \ (1 \leq i \neq j \leq t).$$

Define a map

$$\psi : B[X_1, X_2, \dots, X_t]/I \rightarrow R$$

by

$$\psi(b + I) = b, \quad \psi(X_i + I) = x_i, \quad 1 \leq i \neq j \leq t,$$

where  $b \in B$ . Then  $\psi$  is a  $k$ -algebra homomorphism. Suppose  $\psi(a + a_1 X_1 + a_2 X_2 + \dots + a_t X_t + I) = 0$ . Then  $a + a_1 x_1 + \dots + a_t x_t = 0$ . Here, we may assume  $a_i \in k$  since  $m_B x_i = (0)$  for all  $i = 1, 2, \dots, t$ . Assume  $a \neq 0$ . Then  $a \notin m$ . If  $a \in m$ , then for  $x_j$

$$ax_j = 0, \quad a_i x_i x_j = 0, \quad i = 1, 2, \dots, t.$$

Since  $x_j^2 = w$  and  $0 = ax_j + a_1 x_1 x_j + \dots + a_j x_j^2 + \dots + a_t x_t x_j$ , we have  $a_j w = 0$ . Thus, we should have  $a_j = 0$ , and so,  $a_i = 0$ , for all  $i = 1, 2, \dots, t$ . But then  $a = 0$  which is impossible. Thus,  $a \notin m$  and hence  $a + a_1 x_1 + a_2 x_2 + \dots + a_t x_t$  is a unit which is impossible. Thus, we have  $a = 0$ . If  $a_j \neq 0$  for some  $j$ , then

$$(a_j^{-1} a_1) x_1 + (a_j^{-1} a_2) x_2 + \dots + (a_j^{-1} a_t) x_t = 0.$$

By multiplying  $x_j$  each side, we get

$$0 = (a_j^{-1}a_1)x_1x_j + (a_j^{-1}a_2)x_2x_j + \cdots + (a_j^{-1}a_t)x_tx_j = x_j^2 = w$$

which is impossible and so  $a_j = 0$  for all  $j = 1, 2, \dots, t$ . This implies  $\psi$  is monomorphism. Note that

$$\dim_k(\text{im}(\psi)) = \dim_k(B[x_1, x_2, \dots, x_t]) = \dim_k(B) + t = \dim_k(R).$$

Therefore,  $\psi$  is an isomorphism and we can conclude that the algebra  $R$  is a  $C_2^t$ -construction.

Here, we have an example of  $C_2^t$ -construction. We shall let  $E_{ij}$  be the  $(i, j)$ -th matrix unit.

**Example 3.2.** Let  $R = m \oplus kI_{t+2}$  be a  $k$ -algebra in  $MC_{t+2}(k)$  such that  $r \in m$  is of the following form:

$$r = a_1(E_{21} + E_{t'2}) + a_2(E_{31} + E_{t'3}) + \cdots + a_t(E_{t'1} + E_{t't''}) + cE_{t'1},$$

where  $a_i, c \in k$  for  $i = 1, 2, \dots, t$  and  $t' = t + 2, t'' = t + 1$ .

If we let  $B = k[E_{t'1}]$ , then  $\text{soc}(B) = kE_{t'1} = m_B$ . Thus, the elements

$$x_{i-1} = E_{i1} + E_{t'i}, \quad i = 2, 3, \dots, t+1$$

satisfy the conditions in Theorem 3.1 and so  $R$  is a  $C_2^t$ -construction.

The socle and the index of nilpotency of  $R$  and  $B$  in Theorem 3.1 have the following relations:

**Corollary 3.3.** *If  $R$  and  $B$  are  $k$ -algebras in Theorem 3.1, then  $\text{soc}(R) = \text{soc}(B)$  and  $i(m) = i(m_B) + 1$ .*

Now, we want to prove the relation between  $C_1$ -construction,  $C_2$ -construction and  $C_2^t$ -construction.

**Corollary 3.4.**  *$C_1$ -construction does not imply  $C_2^t$ -construction.*

**Proof.** Let  $R = m \oplus kI_{t+1}$  be a  $k$ -algebra in  $MC_{t+1}(k)$  such that the element  $r \in m$  is of the following form:

$$r = a_1E_{12} + a_2E_{13} + \cdots + a_tE_{1(t+1)},$$

where  $a_i \in k, i = 1, 2, \dots, t$ .

Then  $m^2 = (0)$  and so, the algebra  $R$  is a  $C_1$ -construction. But, the algebra  $R$  has no element whose square is not zero and hence  $R$  cannot be a  $C_2^t$ -construction by Theorem 3.1.

**Corollary 3.5.**  $C_2^t$ -construction does not imply  $C_1$ -construction.

**Proof.** Let  $k$  be the real number field and  $R = m \oplus kI_{t+2}$  be a  $k$ -algebra in Example 3.2. Then,  $R$  is a  $C_2^t$ -construction. Suppose  $R$  is a  $C_1$ -construction. Then there exists an ideal  $I$  of  $R$  such that  $\text{Ann}_R(I) = I$  by Theorem 2.1. If we let  $r \in \text{Ann}_R(I)$ , then for some real numbers  $a_i$ , the element  $r$  is of the following form:

$$r = a_1(E_{21} + E_{t'2}) + a_2(E_{31} + E_{t'3}) + \cdots + a_t(E_{t'1} + E_{t't''}) + aE_{t'1},$$

where  $t' = t + 2$ ,  $t'' = t + 1$ . Since  $\text{Ann}_R(I) = I$ , we have

$$0 = r^2 = \sum_{i=1}^t a_i^2 E_{t'1}$$

and hence  $a_i = 0$  for all  $i = 1, 2, \dots, t$ . Thus,  $r = aE_{t'1}$  and so  $I = \text{Ann}_R(I) = kE_{t'1}$ . But, then  $E_{21} + E_{t'2} \in \text{Ann}_R(I) = I$  which is impossible. Thus, the algebra  $R$  in Example 3.2 is a  $C_2^t$ -construction but not a  $C_1$ -construction.

**Corollary 3.6.**  $C_2$ -construction does not imply  $C_2^t$ -construction.

**Proof.** Let  $k$  be the real number field and  $R = m \oplus kI_{t+2}$  be a  $k$ -algebra in  $MC_{t+2}(k)$  such that  $r \in m$  is of the following form:

$$r = a_1(E_{21} + \cdots + E_{t't}) + a_2(E_{31} + \cdots + E_{t''t-1}) + \cdots + a_t E_{t't'1} + a_{t+1} E_{t'1},$$

where  $a_i \in k$  for all  $i = 1, 2, \dots, t$  and  $t' = t + 2$ ,  $t'' = t + 1$ .

Now, let

$$B = k[E_{t't'1}, E_{t'1}].$$

Then for an element  $r = E_{21} + E_{32} + \cdots + E_{t't}$  in  $m$ , we have the following properties:

- (1)  $E_{t'1} = r^t \in \text{soc}(B)$ .
- (2)  $rm_B = (0)$ .
- (3)  $\dim_k(R) = \dim_k(B) + (t - 1)$ .

This implies  $R$  is a  $C_2$ -construction.

Now, suppose  $R$  is a  $C_2^t$ -construction. Then  $R$  contains a  $k$ -subalgebra  $B$  such that for some  $x_i \in m$ ,

- (1)  $x_i^2 \in \text{soc}(B) - \{0\}$ ,  $i = 1, 2, \dots, t$ ,
- (2)  $x_i x_j = 0$ , for all  $1 \leq i \neq j \leq t$ .

For some  $a_{ij} \in k$ , the elements  $x_i \in m$  can be written as follows:

$$\begin{aligned}
 x_1 &= a_{11}(E_{21} + \dots + E_{t't}) + a_{12}(E_{31} + \dots + E_{t't-1}) + \dots + a_{1t}E_{t'1} + a_{1t''}E_{t'1}, \\
 x_2 &= a_{21}(E_{21} + \dots + E_{t't}) + a_{22}(E_{31} + \dots + E_{t't-1}) + \dots + a_{2t}E_{t'1} + a_{2t''}E_{t'1}, \\
 &\quad \vdots \qquad \qquad \qquad \vdots \qquad \qquad \qquad \vdots \\
 x_t &= a_{t1}(E_{21} + \dots + E_{t't}) + a_{t2}(E_{31} + \dots + E_{t't-1}) + \dots + a_{tt}E_{t'1} + a_{tt''}E_{t'1}.
 \end{aligned}$$

Then for all  $i$  we have the following identity:

$$x_i^2 = a_{i1}^2(E_{31} + \dots + E_{t't-1}) + a_{i1}a_{i2}(E_{41} + \dots + E_{t't-2}) + \dots + a_{i1}a_{it-1}E_{t'1}.$$

Especially, for  $i = 1$ , we have

$$x_1^2 = a_{11}^2(E_{31} + \dots + E_{t't-1}) + a_{11}a_{12}(E_{41} + \dots + E_{t't-2}) + \dots + a_{11}a_{1t-1}E_{t'1}.$$

Since  $x_1^2 \neq 0$ , there exists some  $j$  with  $1 \leq j \leq t-1$  such that  $a_{11}a_{1j} \neq 0$ . That is,  $a_{11} \neq 0$ . Moreover,

$$x_1 x_2 = a_{11}a_{21}(E_{31} + \dots + E_{t't-1}) + \dots + a_{11}a_{2t-1}E_{t'1}.$$

Since  $x_1 x_2 = 0$ , we should have  $a_{11}a_{2\ell} = 0$  for all  $\ell$  with  $1 \leq \ell \leq t-1$ . Furthermore,  $a_{11} \neq 0$  implies  $a_{2\ell} = 0$  for all  $\ell$  with  $1 \leq \ell \leq t-1$ . But then,  $x_2 = a_{2t}E_{t'1} + a_{2t''}E_{t'1}$  and so  $x_2^2 = 0$  which is impossible and so we can conclude that  $R$  is not a  $C_2^t$ -construction. Therefore,  $C_2$ -construction does not imply a  $C_2^t$ -construction.

**Corollary 3.7.**  $C_2^t$ -construction implies  $C_2$ -construction.

**Proof.** Obvious by the definition.

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