



EQUIVARIANT DEFINABLE MORSE FUNCTIONS ON DEFINABLE C^rG MANIFOLDS

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Abstract

Let $0 \leq r < \infty$, G be a compact definable C^r group, X be a compact affine definable C^rG manifold and f be an equivariant definable Morse function on X . We prove that if f has no critical value in $[a, b]$, then $f^{-1}((-\infty, a])$ is definably C^rG diffeomorphic to $f^{-1}((-\infty, b])$. Moreover we prove that the set of equivariant definable Morse functions on X whose critical loci are finite unions of nondegenerate critical orbits is dense in the set of G invariant C^r functions on X with respect to the C^r Whitney topology.

We also prove that if G is a compact definable group and X is a definable G manifold, then X is definably G homeomorphic to an open definable G CW complex.

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1. Introduction

In Morse theory it is proved that the topological data of a given space can be described via data given by Morse functions defined on the space. We refer the reader to the book by Milnor [17] for Morse theory on compact C^∞ manifolds, and to the book by Goresky and MacPherson [4] on singular spaces. Its equivariant versions are studied in Wasserman [23], Mayer [16], Datta and Pandey [1], and its definable versions are studied in Peterzil and Starchenko [19], Loi [15].

Let $\mathcal{M} = (\mathbb{R}, +, \cdot, <, \dots)$ be an o-minimal expansion of the standard structure $\mathcal{R} = (\mathbb{R}, +, \cdot, <)$ of the field of real numbers. The term “definable” means “definable with parameters in \mathcal{M} ”. General references on o-minimal structures are [2], [3], see also [22]. It is known in [20] that there exist uncountably many o-minimal expansions of \mathcal{R} .

In this paper we consider its equivariant definable C^r version of Morse theory. Everything is considered in \mathcal{M} , $2 \leq r < \infty$, every definable map is continuous and every definable C^r manifold does not have boundary unless otherwise stated. Remark that the condition that $r \geq 2$ is necessary to define Morse functions. Definable $C^r G$ manifolds are studied in [11], [9].

Let X be an n -dimensional definable C^r manifold and $f : X \rightarrow \mathbb{R}$ be a definable C^r function. We say that a point $p \in X$ is a *critical point* of f if the differential of f at p is zero. If p is a critical point of f , then $f(p)$ is called a *critical value* of f . Let p be a critical point of f and (U, u) be a definable C^r coordinate system on X at p (i.e., U is a definable open subset of X containing p and u is a definable C^r diffeomorphism from U onto a definable open subset of \mathbb{R}^n with $u(p) = 0$). The critical point p is *nondegenerate* if the Hessian matrix of $f \circ u^{-1}$ at 0 is nonsingular. Direct computations show that the notion of nondegeneracy does not depend on the choice of a local coordinate system. In the non-equivariant setting,

Peterzil and Starchenko [19] introduced definable C^r Morse functions in an o-minimal expansion of the standard structure of a real closed field.

Let G be a definable C^r group, X be a definable $C^r G$ manifold and $f : X \rightarrow \mathbb{R}$ be a G invariant definable C^r function on X . A closed definable $C^r G$ submanifold Y of X is called a *critical manifold* (resp. a *nondegenerate critical manifold*) of f if each $p \in Y$ is a critical point (resp. a nondegenerate critical point) of f . We say that f is an *equivariant definable Morse function* if the critical locus of f is a finite union of nondegenerate critical manifolds of f without interior.

Theorem 1.1. *Let G be a compact definable C^r group and f be an equivariant definable Morse function on a compact affine definable $C^r G$ manifold X . If f has no critical value in $[a, b]$, then $f^a := f^{-1}((-\infty, a])$ is definably $C^r G$ diffeomorphic to $f^b := f^{-1}((-\infty, b])$.*

Theorem 1.1 is an equivariant definable C^r version of Theorem 4.3 [23].

In the non-equivariant definable case, Loi [15] proved the density of definable Morse functions.

Let $Def^r(\mathbb{R}^n)$ denote the set of definable C^r functions on \mathbb{R}^n . For each $f \in Def^r(\mathbb{R}^n)$ and for each positive definable function $\varepsilon : \mathbb{R}^n \rightarrow \mathbb{R}$, the ε -neighborhood $N(f; \varepsilon)$ of f in $Def^r(\mathbb{R}^n)$ is defined by $\{h \in Def^r(\mathbb{R}^n) \mid |\partial^\alpha(h - f)| < \varepsilon, \forall \alpha \in \mathbb{N}^n, |\alpha| \leq r\}$, where $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$, $|\alpha| = \alpha_1 + \dots + \alpha_n$, $\partial^\alpha F = \frac{\partial^{|\alpha|} F}{\partial x_1^{\alpha_1} \dots \partial x_n^{\alpha_n}}$. We call the topology

defined by these ε -neighborhoods the *definable C^r topology*.

Theorem 1.2 [15]. *Let X be a definable C^r submanifold of \mathbb{R}^n . Then the set of definable C^r functions on \mathbb{R}^n which are Morse functions on X and have distinct critical values are open and dense in $Def^r(\mathbb{R}^n)$ with respect to the definable C^r topology.*

Remark that the definable C^r topology and the C^r Whitney topology do not coincide in general. If X is compact, then these topologies of the set $Def^r(X)$ of definable C^r functions on X are the same ([22, p.156]).

A nondegenerate critical manifold of an equivariant Morse function on a definable C^rG manifold is called a *nondegenerate critical orbit* if it is an orbit. The following is the density of equivariant definable Morse functions.

Theorem 1.3. *Let G be a compact definable C^r group and X be a compact affine definable C^rG manifold. Then the set $Def_{\text{equiv-Morse}, o}(X)$ of equivariant definable Morse functions on X whose critical loci are finite unions of nondegenerate critical orbits is dense in the set $C_{\text{inv}}^r(X)$ of G invariant C^r functions on X with respect to the C^r Whitney topology. Moreover $Def_{\text{equiv-Morse}, o}(X)$ is open and dense in the set $Def_{\text{inv}}^r(X)$ of G invariant definable C^r functions with respect to the definable C^r topology.*

Definable G CW complexes are introduced in [7]. Moreover it is proved that if G is a compact definable group, then every definable G set is definably G homeomorphic to a G invariant definable subset of a definable G CW complex obtained by removing some open G cells ([7, 1.1]). Here definable G set means a G invariant definable subset of some representation of G .

In this paper we consider *open definable G CW complexes* (See Definition 4.1) which are more general than 2.2 [7].

We say that a definable C^0G manifold is a *definable G manifold*.

Theorem 1.4. *Let G be a compact definable group and X be a definable G manifold.*

- (1) *X is definably G homeomorphic to an open definable G CW complex in the sense of Definition 4.1.*
- (2) *If X is compact, then X is definably G homeomorphic to a complete*

definable G CW complex in the sense of Definition 4.1. In particular, X is G homeomorphic to a finite G CW complex.

Theorem 1.4 is somewhat stronger than the following usual equivariant C^∞ version [16].

Theorem 1.5 [16]. *Let G be a compact Lie group and f be a special equivariant Morse function on a $C^\infty G$ manifold X such that every f^a is compact. Then X is G homotopy equivalent to a G CW complex. If X is compact, then X is G homotopy equivalent to a finite G CW complex.*

The following is a definable version of a well-known topological result (e.g. [5, 6.2.4]).

Theorem 1.6. *Let X be an n -dimensional compact definable C^r manifold admitting a definable Morse function $f : X \rightarrow \mathbb{R}$ with only two critical points. Then X is definably homeomorphic to the n -dimensional unit sphere S^n . If $n \leq 6$, then X is definably C^r diffeomorphic to S^n .*

Remark that if $n = 7$, then Milnor [18] found a C^∞ manifold which is homeomorphic to S^7 , but not C^∞ diffeomorphic to S^7 . Since every C^r manifold admits a unique C^∞ manifold structure up to C^∞ diffeomorphism (e.g. [5, 2.3.4]), this result holds in the C^r setting.

2. Preliminaries and Proof of Theorem 1.1

A *definable C^r manifold* is a C^r manifold with a finite system of charts whose transition functions are definable, and definable C^r maps, definable C^r diffeomorphisms and definable C^r imbeddings are defined similarly ([11], [9]). A definable C^r manifold is *affine* if it is definably C^r imbeddable into some \mathbb{R}^n . If $\mathcal{M} = \mathcal{R}$, a definable C^ω manifold (resp. an affine definable C^ω manifold) is called a *Nash manifold* (resp. an *affine Nash manifold*). By [10], every definable C^r manifold is affine. The definable C^ω case is complicated. Even if $\mathcal{M} = \mathcal{R}$, it is known that for every compact or compactifiable C^ω manifold of positive dimension

admits a continuum number of distinct nonaffine Nash manifold structures [21], and its equivariant version is proved in [12].

A group G is a *definable C^r group* if G is a definable C^r manifold such that the group operations $G \times G \rightarrow G$ and $G \rightarrow G$ are definable C^r maps. Let G be a definable C^r group. A *definable $C^r G$ manifold* is a pair (X, ϕ) consisting of a definable C^r manifold X and a group action $\phi : G \times X \rightarrow X$ such that ϕ is a definable C^r map. For simplicity, we write X instead of (X, ϕ) .

Let G be a definable C^r group. A *representation map* of G means a group homomorphism from G to some $O_n(\mathbb{R})$ which is of class definable C^r and the *representation* of this representation map is \mathbb{R}^n with the orthogonal action induced by the representation map. In this paper, we always assume that every representation is orthogonal. A *definable $C^r G$ submanifold* of a representation Ω of G is a G invariant definable C^r submanifold of Ω . We say that a definable $C^r G$ manifold is *affine* if it is definably $C^r G$ diffeomorphic to a definable $C^r G$ submanifold of some representation of G .

Theorem 2.1 [8]. *Let X and Y be compact affine definable $C^r G$ manifolds possibly with boundary and $2 \leq r < \infty$. Then the following three conditions are equivalent.*

- (1) *X and Y are $C^1 G$ diffeomorphic.*
- (2) *X and Y are definably $C^r G$ diffeomorphic.*
- (3) *The interior of X is definably $C^r G$ diffeomorphic to that of Y .*

Proof of Theorem 1.1. By the proof of Theorem 4.3 [23], $f^a = f^{-1}((-\infty, a])$ is $C^{r-1} G$ diffeomorphic to $f^b = f^{-1}((-\infty, b])$. Since X is compact and affine, these two manifolds are compact affine definable $C^r G$ manifolds with boundary. Thus Theorem 2.1 proves Theorem 1.1. \square

Remark that the method of the proof of Theorem 4.3 [23] is the integration of a G invariant C^∞ vector field. This method does not work in the definable category because the integration of a G invariant definable C^r vector field is not always definable.

Example 2.2. (1) Let $\mathcal{M} = \mathcal{R}$ and $f : \mathbb{R} \rightarrow \mathbb{R}$, $f(x) = \frac{1}{x^2 + 1}$. Then f is a definable C^∞ function, but $F(x) := \int_0^x f(t)dt = \tan^{-1}(x)$ is not definable in \mathcal{M} .

(2) Let $\mathcal{M} = \mathbf{R}_{\exp} = (\mathbb{R}, +, \cdot, <, e^x)$ and $f : \mathbb{R} \rightarrow \mathbb{R}$, $f(x) = e^{x^2}$. Then f is a definable C^∞ function, but $F(x) := \int_0^x f(t)dt$ is not definable in \mathcal{M} .

3. Proof of Theorem 1.3

Let G be a compact definable C^r group. Let f be a map from a $C^r G$ manifold X to a representation Ω of G . Denote the Haar measure of G by dg and let $C^r(X, \Omega)$ denote the set of C^r maps from X to Ω . Define

$$A : C^r(X, \Omega) \rightarrow C^r(X, \Omega), \quad A(f)(x) = \int_G g^{-1}f(gx)dg.$$

We call A the *averaging function*. In particular, if $G = \{g_1, \dots, g_n\}$, then $A(f)(x) = \frac{1}{n} \sum_{i=1}^n g_i^{-1}f(g_i x)$.

Observations similar to 2.6 [13], 4.3 [9] and 2.35 [14] show the following proposition.

Proposition 3.1 ([13], [9], [14]). *Let G be a compact definable C^r group.*

- (1) *$A(f)$ is equivariant, and $A(f) = f$ if f is equivariant.*
- (2) *If $0 \leq r \leq \infty$ and $f \in C^r(X, \Omega)$, then $A(f) \in C^r(X, \Omega)$.*
- (3) *If f is a polynomial map, then so is $A(f)$.*

(4) If $0 \leq r < \infty$ and X is compact, then $A : C^r(X, \Omega) \rightarrow C^r(X, \Omega)$ is continuous in the C^r Whitney topology.

(5) If G is a finite group and $0 \leq r \leq \omega$, X is a definable $C^r G$ manifold and f is a definable C^r map, then $A(f)$ is a definable $C^r G$ map.

We say that a C^r manifold G is a C^r group if G is a group and the group operations $G \times G \rightarrow G$ and $G \rightarrow G$ are C^r maps. By the proof of Lemma 4.8 [23] proves the following.

Theorem 3.2 [23]. *Let G be a compact C^r group and X be a compact $C^r G$ manifold. Then the set $C_{\text{equiv-Morse}, o}^r(X)$ of equivariant Morse functions on X whose critical loci are finite unions of nondegenerate critical orbits is open and dense in the set $C_{\text{inv}}^r(X)$ of G invariant C^r functions on X with respect to the C^r Whitney topology.*

Proof of Theorem 1.3. Let $f \in C_{\text{inv}}^r(X)$ and $\mathcal{N} \subset C_{\text{inv}}^r(X)$ be an open neighborhood of f in $C_{\text{inv}}^r(X)$. By Theorem 3.2, there exists an open subset $\mathcal{N}' \subset \mathcal{N}$ such that each $h \in \mathcal{N}'$ is an equivariant Morse function whose critical locus is a finite union of nondegenerate critical orbits. Let $C^r(X)$ denote the set of C^r functions on X . Since $A : C^r(X) \rightarrow C^r(X)$ is continuous and $A(C^r(X)) = C_{\text{inv}}^r(X)$, $A : C^r(X) \rightarrow C_{\text{inv}}^r(X)$ is continuous. Fix $h \in \mathcal{N}'$. Since $A(h) = h$, $A^{-1}(\mathcal{N}')$ is an open neighborhood of h in $C^r(X)$. Applying the polynomial approximation theorem, we have a polynomial function h' lies in $A^{-1}(\mathcal{N}')$. Applying the averaging function, we have a G invariant polynomial function $F := A(h')$ lies in \mathcal{N}' . Since F is a G invariant polynomial function, it is a G invariant definable C^r function. Thus F is an equivariant definable Morse function lies in \mathcal{N} .

We now prove the second part. Since X is compact, the definable C^r topology and the C^r Whitney topology coincide [22, p. 156]. By the first

part, $Def_{equi-Morse,o}(X)$ is dense in $C_{inv}^r(X)$. Thus it is dense in $Def_{inv}^r(X)$.

Let $h \in Def_{equi-Morse,o}(X)$. By Theorem 3.2, there exists an open neighborhood \mathcal{V} of h in $C_{inv}^r(X)$ such that each $h \in \mathcal{V}$ is an equivariant Morse function whose critical locus is a finite union of nondegenerate critical orbits. Thus $\mathcal{V} \cap Def_{inv}^r(X)$ is the required open neighborhood of h in $Def_{inv}^r(X)$. \square

4. Proof of Theorem 1.4

Let G be a definable group. A *definable set with a definable G action* is a pair (X, θ) consisting of a definable set X and a group action $\theta : G \times X \rightarrow X$ such that θ is a definable map. This action is not necessarily linear (orthogonal). We simply write X instead of (X, θ) .

A definable map between definable sets with definable G actions is a *definable G map* if it is a G map. A definable G map is a *definable G homeomorphism* if it is bijective and its inverse is a definable G map.

We consider the definition of open definable G CW complexes which is more general than 2.2 [7].

Definition 4.1. Let G be a compact definable group.

(1) An *open definable G CW complex* is a pair of $(X, \{c_i \mid i \in I\})$ consisting of a Hausdorff definable G space X and a finite family of open G cells $\{c_i \mid i \in I\}$ such that

(a) The underlying space $|X|$ of X is a definable set with a definable G action.

(b) The orbit space X/G is a definable subset of some \mathbb{R}^n .

(c) For each open G n -cell c_i , there exist a definable subgroup H_{c_i} of G and the characteristic map $f_{c_i} : G/H_{c_i} \times \Delta \rightarrow \overline{c_i} \subset X$ such that $f_{c_i}|_{G/H_{c_i} \times \text{Int } \Delta} \rightarrow c_i$ is a definable G homeomorphism and the

boundary ∂c_i is equal to $f_{c_i}(G/H_{c_i} \times \partial\Delta)$, where Δ is a subset of the standard compact n -simplex Δ^n obtained by removing some open lower dimensional faces of Δ^n , $\overline{c_i}$ denotes the closure of c_i in X , $\text{Int } \Delta$ means the interior of Δ and $\partial\Delta = \Delta - \text{Int } \Delta$.

(d) For each c_i , $\overline{c_i} - c_i$ is a finite union of open G cells.

(2) An open definable G CW complex is called a *complete definable G CW complex* if every Δ is a standard compact simplex.

In the above definition, if $(X, \{c_i \mid i \in I\})$ is complete and $|X|$ is a definable G set, then this coincides 2.2 [7]. Remark that a complete definable G CW complex is a compact standard G CW complex.

The following is a generalization of 1.1 [7].

Theorem 4.2. *Let G be a compact definable group and X be a definable set with a definable G action.*

(1) *X is definably G homeomorphic to an open definable G CW complex.*

(2) *If X is compact, then X is definably G homeomorphic to a complete definable G CW complex.*

To prove Theorem 4.2, we first prepare a piecewise equivariant definable trivialization theorem. Its non-equivariant version is proved in 9.1.2 [2].

Let X be a definable set with a definable G action and $Y \subset \mathbb{R}^n$ be a definable set, and $f : X \rightarrow Y$ be a G invariant definable map. We say that f is *definably G trivial* if there exist a definable set F with a definable G action and a definable G map $h : X \rightarrow F$ such that $(f, h) : X \rightarrow Y \times F$ is a definable G homeomorphism. In this case, each fiber $f^{-1}(a)$ of f over a is definably G homeomorphic to F .

Theorem 4.3. *Let G be a compact definable group and let X be a definable set with a definable G action. Let Y be a definable set in some \mathbb{R}^n and let $f : X \rightarrow Y$ be a G invariant definable map. Then there exists*

a finite partition $\{A_i\}$ of A into definable sets such that each $f|f^{-1}(A_i) : f^{-1}(A_i) \rightarrow A_i$ is definably G trivial.

Using the following two theorems, the proof of 2.5 [9] proves Theorem 4.3.

Theorem 4.4 (10.2.18 [2]). *Let G be a compact definable group and let X be a definable set with a definable G action. Then the orbit space X/G exists as a definable subset of some \mathbb{R}^n and the orbit map $\pi : X \rightarrow X/G$ is G invariant, definable and proper.*

The following is a special case of 1.3 [6]

Theorem 4.5 [6]. *Let G be a compact definable group. Then every definable set with a definable G action has only finitely many orbit types.*

The following is a definable triangulation of a definable set 8.2.9 [2].

Theorem 4.6 (8.2.9 [2]) (Definable triangulation). *Let S_1, \dots, S_k be definable subsets of a definable set S in \mathbb{R}^n . Then there exist a finite simplicial complex $K \subset \mathbb{R}^n$ and a definable map $\phi : S \rightarrow \mathbb{R}^n$ such that ϕ maps S and each S_i homeomorphically onto unions of open simplexes of K .*

We call (ϕ, K) a definable triangulation of S compatible with S_1, \dots, S_k .

Proof of Theorem 4.2. Let $\pi : X \rightarrow X/G$ be the orbit map. Then X/G is a definable set and π is a definable map. By Theorem 4.3, there exists a finite decomposition $\{A_i\}$ of X/G into definable sets such that each $\pi|_{\pi^{-1}(A_i)} : \pi^{-1}(A_i) \rightarrow A_i$ is definably G trivial. Using Theorem 4.5, X has only finitely many orbit types $\{(H_1), \dots, (H_k)\}$. By Theorem 4.6, there exists a definable triangulation (ϕ, K) of X/G compatible with $\{A_i\} \cup \{\pi(X(H_1)), \dots, \pi(X(H_k))\}$, where $X(H_i) = \{x \in X \mid G_x \text{ is conjugate to } H_i\}$. Then $\phi(X/G)$ is a definable subset of K obtained by removing some open simplexes.

Let Y be the minimal simplicial complex of K containing $\phi(X/G)$. For each n -simplex Δ^n of Y , there exists a definable section $s : \phi^{-1}(\text{int } \Delta^n) \rightarrow X$ of π because $\phi^{-1}(\text{int } \Delta^n)$ is contained in some A_i . After replacing its subdivision, if necessary, we can extend the section s to a definable section $\tilde{s} : \phi^{-1}(\Delta^n \cap \phi(X/G)) \rightarrow X$. Let $\sigma = s(\phi^{-1}(\text{int } \Delta^n))$. Then $\bar{\sigma} = \tilde{s}(\phi^{-1}(\Delta^n \cap \phi(X/G)))$ and $\overline{G\sigma} = G\bar{\sigma}$, where $\bar{\sigma}$ (resp. $\overline{G\sigma}$) denotes the closure of σ (resp. $G\sigma$) in X . Hence there exists a definable G map $f_\sigma : G/H \times (\Delta \cap \phi(X/G)) \cong G(\tilde{s}(a_i)) \times (\Delta \cap \phi(X/G)) \rightarrow \overline{G\sigma}$, $f_\sigma(gH, x) = g(\tilde{s}(\phi(x)))$ such that $f_\sigma|_{G/H \times \text{int } \Delta} \rightarrow G\sigma$ is a definable G homeomorphism, where a_i is any point in σ .

By collecting open G cells $G\tilde{s}(\phi(\text{int } \Delta^n)) = \pi^{-1}(\phi(\text{int } \Delta^n))$ for all open simplices $\text{int } \Delta^n$ of $\phi(X/K)$, we have the required open definable G CW complex. \square

Theorem 4.7 [10]. *If $0 \leq r < \infty$, then every definable C^r manifold is definably C^r imbeddable into some \mathbb{R}^n .*

Proof of Theorem 1.4. By Theorem 4.7, X is definably homeomorphic to a definable subset Y of some \mathbb{R}^n . Thus this definable homeomorphism makes Y a definable set with a definable G action. Applying Theorem 4.2, we have Theorem 1.4. \square

5. Proof of Theorem 1.6

We now prepare two results.

Lemma 5.1 (A.6 [19]) (Morse's Lemma). *Let $r \geq 0$, X be an n -dimensional definable C^{r+2} manifold, $f : X \rightarrow \mathbb{R}$ be a definable C^{r+2} function and $p \in X$ be a nondegenerate critical point of f . Then there exists a definable C^r coordinate system (U, ϕ) on X at p such that $f \circ \phi^{-1}(y) = f(p) - y_1^2 - \cdots - y_\lambda^2 + y_{\lambda+1}^2 + \cdots + y_n^2$, where λ is the index of f at p .*

Theorem 5.2 (e.g. 6.2.2 [5]). *Let $f : X \rightarrow [a, b]$ be a C^r map on a compact manifold X with boundary. If f has no critical points and $f(\partial X) = \{a, b\}$, then there exists a C^{r-1} diffeomorphism $F : f^{-1}(a) \times [a, b] \rightarrow X$ such that $f \circ F$ coincides the projection $f^{-1}(a) \times [a, b] \rightarrow [a, b]$.*

Proof of Theorem 1.6. Using Morse's Lemma and Theorems 2.1, 4.7 and 5.2, a similar proof of 6.2.4 [5] proves the first half of Theorem 1.6.

If $n \leq 6$, X is C^r diffeomorphic to S^n . Thus since X is compact and by Theorems 2.1 and 4.7, X is definably C^r diffeomorphic to S^n . \square

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